

03-12-01

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CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as attached or enclosed are being deposited with the United States Postal Service on the date set forth below in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, with the below indicated mailing label number, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 3-8-01

Diane M. Hixson
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Mailing Label Number: EF230100334US

11033 U.S. PTO
09/802753
03/08/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. DYOUN0210US

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): David John Richardson Morten Ibsen
Periklis Petropoulos Peh Chiong Teh
Ju Han Lee

For (title): OPTICAL RECEIVER, OPTICAL RECEIVING METHOD AND OPTICAL TRANSMISSION SYSTEM

1. Papers Enclosed That Are Required for Filing Date under 37 CFR 1.53(b):

31 Pages of specification including claims

1 Pages of Abstract

21 Sheets of drawing

☐ formal ☒ informal

☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. 1.84(b).

2. Additional papers enclosed:

☐ Preliminary Amendment

☐ Assignment to _____

☐ Information Disclosure Statement (37 CFR 1.98)

☐ Form PTO-1449 ☐ Citations

☐ Other:

3. Small Entity Status: ☐ Applicant claims ☒ Not claimed.
small entity status.
4. Declaration or oath: ☐ Enclosed ☒ Not enclosed.
5. Language: ☐ English ☐ Non-English
☐ A verified translation is enclosed (37 CFR 1.52(d)).
6. This application claims priority of the below listed application(s) (if any):

Country	Application No.	Filing Date	Certified Copy Enclosed
United Kingdom	0005615.0	9 March 2000	No

7. The filing fee is calculated below.

Fee Calculation					Fee
Basic fee →					\$0.00
Claims*	Number filed		Number extra	Rate	
Total claims		-20	0	\$18.00	\$0.00
Independent claims		-3	0	\$80.00	\$0.00
Multiple dependent claims (if applicable)				\$270.00	
Total of above					\$0.00
Small entity statement enclosed (1 if Yes, 0 if No) →					\$0.00
Total fee					\$0.00
Non-English language specification				\$130.00	
Fee for recording enclosed assignment				\$40.00	
Total fees					\$0.00

*After any attached preliminary amendment reducing the number of claims and/or deleting multiple dependencies.

8. Form of payment:


- ☒ No fee being paid at this time.
- ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- ☐ Please charge our Deposit Account No. 18-0988 in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ Fee for extra claims is not being paid at this time.

9. The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 18-0988:

- ☐ 37 CFR 1.16(a), (f) or (g) (filing fees)
- ☐ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
- ☐ 37 CFR 1.17 (application processing fees)
- ☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

10. Credit any overpayment to Deposit Account No.18-0988.

Respectfully submitted,

Date: 3-8-01

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